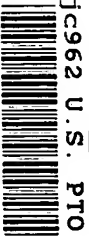


10/31/01



Practitioner's Docket No. RPS920010068US1

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Richard H. Harris

For (title): **SECURE SMART CARD**

1. Type of Application

This transmittal is for an original (nonprovisional) application.

CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10\*

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. Section 1.8(a)

37 C.F.R. Section 1.10\*

☐ with sufficient postage as first class mail.

☒ as "Express Mail Post Office to Address"  
Mailing Label No. EL 888550506 US

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office (783) \_\_\_\_\_

Date: 10-31-2001

Amirah Scarborough  
Signature

Amirah Scarborough  
(type or print name of person certifying)

RPS920010068US1

Page 1 of 4

**2. Papers Enclosed**

**A.** Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

8 Page(s) of Specification

7 Page(s) of Claims

3 Sheet(s) of Drawing(s)--Formal

**B.** Other Papers Enclosed

2 Page(s) of declaration and power of attorney

1 Page(s) of abstract

**3. Additional Papers Enclosed**

Information Disclosure Statement (37 C.F.R. 1.98)

Form PTO-1449 (PTO/SB/08A and 08B)

**4. Assignment**

An assignment of the invention to **International Business Machines Corporation; Armonk, NY** is attached. A separate "RECORDATION COVER SHEET" is also attached.

**5. Fee Calculation (37 C.F.R. Section 1.16)**

Regular Application

**CLAIMS AS FILED**

Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$740.00
Total Claims	16	20	0	\$18.00	\$0.00
Independent Claims	6	3	3	\$84.00	\$252.00
Multiple Dependent Claims					\$0.00
Filing Fee Calculation					\$992.00

**6. Fee Payment Being Made at This Time**

Enclosed

Filing Fee	\$992.00
Recording assignment (\$40; 37 C.F.R. Section 1.21(h)) See attached, "RECORDATION COVER SHEET")	\$40.00
<b>Total Fees Enclosed</b>	<b>\$1,032.00</b>

**7. Method of Payment of Fees**

Charge Account No. **50-0563** in the amount of **\$1,032.00**.  
A duplicate of this transmittal is attached.

**8. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 50-0563.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

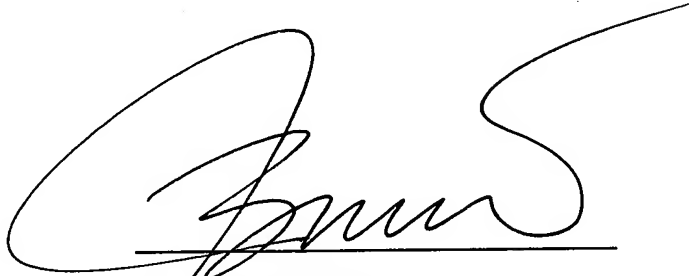
37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

**9. Instructions as to Overpayment**

Credit Account No. 50-0563.

Date:

10/31/01



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